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Date JANUARY 19, 2001 Sig: Marjorie Scariati  
Name: Marjorie Scariati

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Jefferson L. Wagener et al.

SERIAL NO.: 09/691,812

FILING DATE: 10/19/00

TITLE: A RECONFIGURABLE OPTICAL SWITCH

ART UNIT: 2874

DOCKET: 2060/1 C1

Box DD  
Assistant Commissioner for Patents  
Washington, DC 20231

**LETTER**

This is in reference to the Information Disclosure Citation(s) filed herewith.

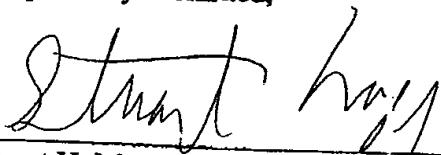
Applicant's attorney notes that each of the cited references in the attached modified form PTO-1449 was cited in the parent case, 09/571,833, filed May 16, 2000. Copies of each cited reference were submitted in the parent case, and are therefore not included in the enclosed Information Disclosure Citation filed on even date herewith in application 09/691,812.

A Supplemental Information Disclosure Citation, Form PTO-1449 is being filed on even date herewith in parent application 09/571,833, disclosing (2) additional U.S. Patents. These same additional references are filed herewith in a Supplemental Information

Disclosure Statement in application 09/691,812. As copies of each of these additional references were submitted in the parent case, copies are therefore not included in the enclosed submission.

It is respectfully requested that the enclosed Information Disclosure Statement and Supplemental Information Disclosure Statement be made of record in application 09/691,812.

Respectfully submitted,



Stuart H. Mayer      Reg. No. 35,277  
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SUPPLEMENTAL

# 3

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2060/1 C1

In Re Application Of: **Jefferson L. Wagener et al.**

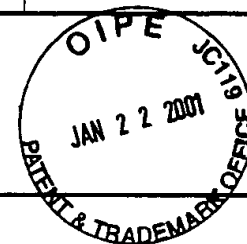
Serial No.  
09/691,812

Filing Date  
10/19/00

Examiner  
Unassigned

Group Art Unit  
2874

Title: **A RECONFIGURABLE OPTICAL SWITCH**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

 Docket No.  
 2060/1 C1

In Re Application Of: Jefferson L. Wagener et al.

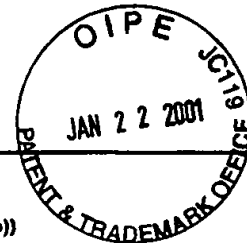
 Serial No.  
 09/691,812

 Filing Date  
 10/19/00

 Examiner  
 Unassigned

 Group Art Unit  
 2874

Title: A RECONFIGURABLE OPTICAL SWITCH


**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 1/19/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: 1/18/01

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